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DOCKET NO.: TJU-2534/CR001.NP003

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In Re Application of:

Carlo M. Croce, et al.

Confirmation No.: 9316

Application No.: 09/526,329

Group Art Unit: 1642

Filing Date: March 15, 2000

Examiner: Gary B. Nickol

For: TCL-1b GENE AND PROTEIN AND RELATED METHODS AND COMPOSITIONS

EXPRESS MAIL LABEL NO: EV251284386US
DATE OF DEPOSIT: May 30, 2003

EV251284386US

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☐ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or

before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

☐ In accordance with § 1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☒ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☒ Certification in Accordance with § 1.97(e) is attached;
or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

- ☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith

EXCEPT THAT:

- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
- ☐ In accordance with § 1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. § 120 have been made in the instant application:
- ☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior Application No. , filed .
- ☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

DOCKET NO.: TJU-2534/CR001.NP003- 4 -

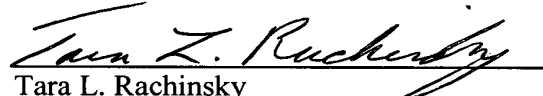
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Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Enclosed is a copy of the EPO Supplementary Partial European Search Report dated March 25, 2003 (number 1 on the PTO-1449), which indicates the references considered to be relevant.

There are no listed references which are not in the English language.

Date: *May 30, 2003*


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Dear Sir:

CERTIFICATION UNDER 37 CFR § 1.97(e)

In accordance with 37 CFR § 1.97(e), certification is hereby made that:

- ☒ Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.
- ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after

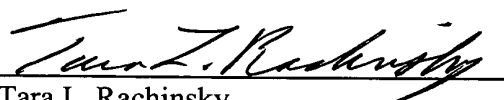
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- 2 -

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making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

Date: *May 30, 2003*


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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. TJU-2534/ CR001.Np003	Application No. 09/526,329
	Applicant Carlo M. Croce, et al.	
	Filing Date March 15, 2000	Group 1642

U. S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Subclass

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Country	Translation	
				YES	NO
	6 WO 94/24308	10/27/94	PCT		
	7 WO 96/13514	05/09/96	PCT		

EXAMINER	DATE CONSIDERED
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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office		Docket No. TJU-2534/ CR001.Np003	Application No. 09/526,329
		Applicant Carlo M. Croce, et al.	
		Filing Date March 15, 2000	Group 1642
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	1	Copy of the EPO Supplementary Partial European Search Report dated March 25, 2003 (EP 00 91 4959)	
	2	Pekarsky, Y., et al., "Abnormalities at 14q32.1 in T cell malignancies involve two oncogenes," <i>Proc. Natl. Acad. Sci. U.S.A.</i> , 1999 , 96(6), XP-002235943, 2949-2951	
	3	Pekarsky, Y., et al., "Homo sapiens T-cell leukemia/lymphoma 1B (TCL1B) mRNA, complete cds," <i>Accession No. AF110466</i> , Version 4, March 3, 2000 (created March 11, 1999), XP002235944, <i>Database EMBL 'Online!'</i> , 1 page	
	4	Pekarsky, Y., et al., "Homo sapiens T-cell leukemia/lymphoma 1B (TCL1B) gene, complete cds," <i>Accession No. AF110465</i> , Version 4, March 3, 2000 (created March 11, 1999), XP-002235945, <i>Database EMBL 'Online!'</i> , 2 pages	
	5	Sakashita, A., et al., "Amplification of the TCL1 flanking region at 14q32.1 with no TCL1 gene transcription in a patient with peripheral T cell lymphoma," <i>Leukemia</i> , 1998 , 12, 970-971	
EXAMINER		DATE CONSIDERED	